The Office for Citizens with Developmental Disabilities (OCDD)
Home and Community Based Services (HCBS) Developmental (DD)/Intellectual Disability (ID) Waiver Settings Transition Plan for the Supports Waiver

Section 1: Assessment

Action Item	Description	Proposed Start Date	Proposed End Date
Settings Analysis	OCDD will assess all HCBS rules/regulations, related licensing, and policies/procedures to determine degree of compliance with HCBS rule for the Supports Waiver.	11/1/2014	11/30/2014
Participant Survey	OCCD will obtain feedback from HCBS Supports Waiver participants to determine if their services are provided in HCBS settings.	1/1/2015	12/31/2015
Develop Provider Self-Assessment Tool	OCDD will develop a self-assessment tool to gather information on current state of compliance and to evaluate the provider agency's compliance with HCBS settings rule.	11/1/2014	12/31/2014
Training on the Provider Self-Assessment Tool	Supports Waiver providers will be trained to complete the self-assessment for their agency.	1/1/2015	1/31/2015
Completion of Provider Self-Assessment Tool	Supports Waiver providers will complete the self-assessment for their agency.	2/1/2015	4/30/2015
Monitoring of Provider Self-Assessment	OCDD will randomly sample (10%) of the providers serving HCBS Supports Waiver participants to assess whether service sites have the characteristics of HCBS. This assessment will be completed onsite.	5/1/2015	9/30/2015
Analysis	OCDD will compile and analyze the results of the settings analysis and onsite assessment. Findings will be presented to stakeholders in a public forum as well posted on OCDD's website. Heightened Scrutiny will be applied as needed.	10/1/2015	12/31/2015

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Section 2: Remediation

Action Item	Description	Proposed Start Date	Proposed End Date
Information Sharing	OCDD will draft and finalize informational letters describing proposed transition, appropriate HCBS settings, deadlines for compliance, and technical assistance availability and share on the website.	11/17/2014	12/31/2015
Provider Assessment Findings	HCBS providers for the Supports Waiver will submit a corrective action plan for any setting that requires remediation. The corrective action plan will provide detail about the steps to be taken to remediate issues and the expected timelines for compliance.	5/1/2015	12/31/2015
Service Definition Manual	As part of the larger Systems transformation and movement to a Managed Care structure, Louisiana has been evaluating and will continue to evaluate service options/definitions. The state has announced intent to complete an 1115 demonstration waiver application to submit to CMS in Spring 2015. The demonstration concept has the existing 1915(c) Supports Waiver phasing out and being replaced by the 1115 waiver. Louisiana plans to implement the 1115 waiver via phase in beginning January 1, 2016. The Supports Waiver, along with the other three waivers managed by OCDD will be phased in over the course of the first year in the new waiver.	1/1/2015	12/31/2015
Louisiana Administrative Code	As part of the development and implementation of the 1115 demonstration waiver and to ensure compliance with the new setting rule, OCDD will revise rules as necessary to reflect federal regulations on HCBS settings.	1/1/2015	12/31/2015
Enrollment	To ensure ongoing compliance, OCDD will request that the MCO continue the provider self-assessment and appropriate participant survey. The MCO will be required to collect and report information to support monitoring of compliance and will support	1/1/2016	On going

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	planning and remediation strategies.		
Quality Framework Strategies	OCDD will develop and monitor settings outcome measures. For example, number of sites visit reviewed fully meeting the setting requirements compared to the number of sites reviewed.	1/1/2016	On going

Section 3: Public Comment

Action Item	Description	Proposed Start Date	Proposed End Date
Announcement of Public Notice	OCDD will send individuals, advocates, providers, associations and other stakeholders a public information notice describing the new HCBS settings rule, a draft transition plan, and notice to submit comments electronically and in writing via the designated email address established by OCDD. Louisiana will include a draft transition plan with explanation on a designated website, waiver amendment and waiver document for the Supports Waiver. Stakeholders will be notified of the opportunity to provide public comment by direct email.	10/6/2014	12/31/2014
Public Comment Period and Forum	Louisiana will host a listening session to highlight the settings requirement and offer insight into the changes that will be required and when those changes are effective. The listening session will be live streamed via the web so that stakeholders across the state will have access to view, ask questions and provide comments via email during the session. Additionally, several public listening sessions will be held around the state to obtain feedback.	11/17/2014	1/17/2015
Ongoing Stakeholder Feedback	As part of Louisiana's Systems Transformation/MLTSS discussions, stakeholder groups have been established. There are established meeting dates/times and the public will be sufficiently informed of these dates/times. Information related to progress and proposed actions will be shared with this group to get feedback/comments.	Ongoing	Ongoing

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