The Office for Citizens with Developmental Disabilities (OCDD)
Home and Community Based Services (HCBS) Developmental (DD)/Intellectual Disability (ID) Waiver Settings Transition Plan

Section 1: Assessment

Action Item	Description	Proposed Start Date	Proposed End Date
Settings Analysis	Louisiana will assess all HCBS rules/regulations and policies/procedures to determine degree of compliance with HCBS rule. Louisiana will identify HCBS settings as they potentially conform to the HCBS setting rule and ability to comply in the future.	10/1/2014	10/31/2014
Participant Survey	Louisiana will obtain feedback from HCBS participants to determine if their services are provided in HCBS settings. If they reside in provider owned or controlled setting, Louisiana will determine if the participant has control over their environment and access to the community.	1/1/2015	Ongoing
Develop Provider Self-Assessment Tool	Louisiana will develop a self-assessment tool based on the questions received from CMS for Service Providers to complete and evaluate their agencies compliance with HCBS settings rule.	11/1/2014	12/31/2014
Completion of Provider Self-Assessment Tool	Providers will be trained to complete and will complete the self-assessment	1/1/2015	3/31/2015
Monitoring of Provider Self-Assessment	Louisiana will randomly sample (10%) of the providers serving HCBS participants to assess whether service sites have the characteristics of HCBS.	3/1/2015	Ongoing
Analysis	Louisiana will compile and analyze the results of the settings analysis and onsite assessment. Findings will be presented to stakeholders.	3/1/2015	12/31/2015

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Section 2: Remediation

Action Item	Description	Proposed Start Date	Proposed End Date
Information sharing	Louisiana will draft and finalize informational letters describing proposed transition, appropriate HCBS settings, deadlines for compliance, and technical assistance availability. Louisiana will also offer a public stakeholder meeting and invite participants and their families, advocacy groups, service providers, support coordination, local governing entities, etc.	10/1/14	11/1/2014
Provider Assessment Findings	HCBS providers will submit a corrective action plan for any setting that requires remediation. The corrective action plan will provide detail about the steps to be taken to remediate issues and the expected timelines for compliance.	1/1/2015	Ongoing
Service Definition Manual	As part of the larger Systems transformation and movement to a Managed Care structure, Louisiana has been evaluating and will continue to evaluate service options/definitions. The state has announced intention to complete an 1115 demonstration waiver application and submit to CMS in Spring 2015. The demonstration concept has the existing 1915(c) waivers phasing out and being replaced by the 1115 waiver. Thus, Louisiana will not amend the four existing 1915(c) I/DD waivers, but instead will submit 1115 application. Louisiana plans to implement the 1115 waiver via phase in beginning January 1, 2016.	1/1/2015	12/31/2015
Louisiana Administrative Code	As part of the development and implementation of the 1115 demonstration waiver, Louisiana will revise rules as necessary to reflect federal regulations on HCBS settings.	1/1/2015	12/31/2015
Enrollment	To ensure ongoing compliance, Louisiana will request that the MCO continue the provider self-assessment and appropriate participant survey. The MCO will be required to collect and report information to support monitoring of compliance and will support	1/1/2015	12/31/2015

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	planning and remediation strategies.		
Quality Framework Strategies	Louisiana will develop and monitor settings outcome measures.	1/1/2015	Ongoing

Section 3: Public Comment

Action Item	Description	Proposed Start Date	Proposed End Date
Announcement of Public Notice	Louisiana will send individuals, advocates, providers, associations and other stakeholders a public information notice describing the new HCBS settings rule, a draft transition plan, and notice to submit comments electronically and in writing via the designated email address established by OCDD. Louisiana will include a draft transition plan with explanation on a designated website. Stakeholders will be notified of the opportunity to provide public comment by direct email.	10/6/2014	11/15/2014
Public Comment Period and Forum	Louisiana will host a listening session to highlight the settings requirement and offer insight into the changes that will be required and when those changes are effective. The listening session will be live streamed via the web so that stakeholders across the state will have access to view, ask questions and provide comments via email during the session.	10/28/14	10/28/2014 10am-12pm
Ongoing Stakeholder Feedback	As part of Louisiana's Systems Transformation/MLTSS discussions, stakeholder groups have been established. There are established meeting dates/times. Information related to progress and proposed actions will be shared with this group to get feedback/comments.		

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