

HCBS Settings Rule Provider Self-Assessment

Before beginning your self-assessment process, please indicate if you intend to meet or work towards meeting all HCBS Setting Rule compliance requirements. Compliance with this rule is required to continue to provide services to participants enrolled in the Adult Day Health Care or Community Choices waivers.

		Response Total	Response Percent
Yes		33	100%
No		0	0%
Total Respondents			33

The service setting is NOT located in a building that is also a publicly or privately operated Nursing Facility, Institution for Mental Disease, Intermediate Care Facility for Individuals with Developmental Disabilities, or Hospital).

		Response Total	Response Percent
Yes		33	100%
No		0	0%
Total Respondents			33

The service setting is NOT located in a building, on the grounds of, or immediately adjacent to, a public institution that has the effect of isolating individuals receiving Medicaid HCBS.

		Response Total	Response Percent
Yes		33	100%
No		0	0%
Total Respondents			33

The provider does NOT own or operate multiple locations on the same street.

		Response Total	Response Percent
Yes		33	100%
No		0	0%
Total Respondents			33

Is the setting in a community or building located among other residential buildings, private businesses, retail businesses, restaurants, doctor's offices, etc. that facilitates integration with the greater community?

		Response Total	Response Percent
Yes		32	97%
No		1	3%
Total Respondents			33

The service setting is NOT in a gated/secured 'community' for people with disabilities.

		Response Total	Response Percent
Yes		33	100%
No		0	0%
Total Respondents			33

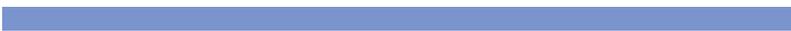
Do you allow waiver participants to visit the center before they have selected their ADHC provider?

		Response Total	Response Percent
Yes		33	100%
No		0	0%
Total Respondents			33

Does the setting afford individuals the opportunity to regularly and periodically update or change their preferences?

		Response Total	Response Percent
Yes		33	100%
No		0	0%
Total Respondents			33

Does the setting ensure individuals are supported to make decisions and exercise autonomy to the greatest extent possible?

		Response Total	Response Percent
Yes		33	100%
No		0	0%
Total Respondents			33

Does the setting ensure the individual is supported in developing plans to support her/his needs and preferences?

		Response Total	Response Percent
Yes		33	100%
No		0	0%
Total Respondents			33

Is setting staff knowledgeable about the capabilities, interests, preferences and needs of individuals?

		Response Total	Response Percent
Yes		33	100%
No		0	0%
Total Respondents			33

Does the setting post or provide information to individuals about how they may request changes to their current services?

		Response Total	Response Percent
Yes		33	100%
No		0	0%
Total Respondents			33

Does the setting assure that tasks and activities are comparable to tasks and activities for people of similar ages who do not receive home and community based services?

		Response Total	Response Percent
Yes		32	97%
No		1	3%
Total Respondents			33

Does the setting reflect individual needs and preferences of its participants?

		Response Total	Response Percent
Yes		33	100%
No		0	0%
Total Respondents			33

Does the setting make materials and/or resources available to its participants to increase awareness of activities occurring outside of the setting?

		Response Total	Response Percent
Yes		32	97%
No		1	3%
		Total Respondents	33

Are individuals, within reason, able to come and go at any time (e.g. can a family member check them out during the day)?

		Response Total	Response Percent
Yes		33	100%
No		0	0%
		Total Respondents	33

Does the setting afford opportunities for individuals to have knowledge of or access to information regarding age-appropriate activities including competitive work, shopping, attending religious services, medical appointments, dining out, etc. outside of the setting, OR is there a staff person with this knowledge who is available to assist participants?

		Response Total	Response Percent
Yes		33	100%
No		0	0%
		Total Respondents	33

Does the setting encourage visitors or other people from the greater community (aside from paid staff) to be present, and is there evidence that visitors have been present at regular frequencies? For example, do visitors greet/acknowledge individuals receiving services with familiarity when they encounter them, are visiting hours unrestricted, or does the setting otherwise encourage interaction with the public (for example, are family members or friends allowed or encouraged to visit)?

		Response Total	Response Percent
Yes		33	100%
No		0	0%
		Total Respondents	33

Does the setting make available information to access public transportation, such as buses, taxis, etc., and is the information available in a convenient location?

		Response Total	Response Percent
Yes		28	84%
No		5	15%
Total Respondents			33

Alternatively where public transportation is limited, does the setting provide information about resources for the individual to access the broader community, including accessible transportation for individuals who use wheelchairs?

		Response Total	Response Percent
Yes		29	87%
No		4	12%
Total Respondents			33

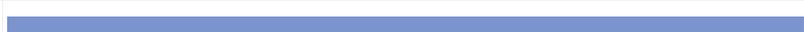
Is all information about individuals kept private? For instance, do paid staff/providers follow confidentiality policy/practices and does staff within the setting ensure that, for example, there are no posted schedules of individuals for PT, OT, medications, restricted diet?

		Response Total	Response Percent
Yes		33	100%
No		0	0%
Total Respondents			33

Does the setting assure that staff interact and communicate with individuals respectfully and in a manner in which the person would like to be addressed, while providing assistance during the regular course of daily activities?

		Response Total	Response Percent
Yes		33	100%
No		0	0%
Total Respondents			33

Do the setting requirements assure that staff do not talk to other staff about an individual(s) in the presence of other persons or in the presence of the individual as if s/he were not present?

		Response Total	Response Percent
Yes		33	100%
No		0	0%
Total Respondents			33

Does the setting policy ensure that each individual's supports and plans to address behavioral needs are specific to the individual and not the same as everyone else in the setting and/or restrictive to the rights of every individual receiving support within the setting?

		Response Total	Response Percent
Yes		33	100%
No		0	0%
Total Respondents		33	

Does the setting offer a secure place for the individual to store personal belongings?

		Response Total	Response Percent
Yes		33	100%
No		0	0%
Total Respondents		33	

Does the setting support individuals who need assistance with their personal appearance and is personal assistance, provided in private, as appropriate?

		Response Total	Response Percent
Yes		33	100%
No		0	0%
Total Respondents		33	

Are there gates, locked doors, fences or other barriers preventing individuals' entrance to or exit from certain areas of the setting?

		Response Total	Response Percent
Yes		32	97%
No		1	3%
Total Respondents		33	

Does the physical environment support a variety of individual goals and needs (for example, does the setting provide indoor and outdoor gathering spaces; does the setting provide for larger group activities as well as solitary activities; does the setting provide for stimulating as well as calming activities)?

		Response Total	Response Percent
Yes		33	100%
No		0	0%
Total Respondents		33	

Does the setting afford opportunities for participants to choose with whom to do activities (i.e. their interaction is not restricted to a certain group of people)?

		Response Total	Response Percent
Yes		33	97%
No		1	3%
Total Respondents		33	

Does the setting allow for individuals to have a meal/ snacks at the time and place of their choosing? For instance, does the setting afford individuals full access to a dining area with comfortable seating and opportunity to converse with others during break or meal times, afford dignity to the diners (i.e., individuals are treated age-appropriately and not required to wear bibs)?

		Response Total	Response Percent
Yes		30	91%
No		3	9%
Total Respondents		33	

Does the setting provide for an alternative meal and/or private dining if requested by the individual?

		Response Total	Response Percent
Yes		33	100%
No		0	0%
Total Respondents		33	

Does the setting post or provide information on individual rights?

		Response Total	Response Percent
Yes		33	100%
No		0	0%
Total Respondents		33	

Does the setting afford the opportunity for tasks and activities matched to individuals' skills, abilities and desires?

		Response Total	Response Percent
Yes		33	100%
No		0	0%
Total Respondents		33	

Does the setting afford opportunities for individual schedules that focus on the needs and desires of an individual and an opportunity for individual growth?

		Response Total	Response Percent
Yes		33	100%
No		0	0%
Total Respondents			33

Does the setting allow individuals the freedom to move about inside and outside of the setting as opposed to one restricted room or area within the setting?

		Response Total	Response Percent
Yes		33	100%
No		0	0%
Total Respondents			33

Is the setting physically accessible, including access to bathrooms and break rooms, and are appliances, equipment, and tables/desks and chairs at a convenient height and location, with no obstructions such as steps, lips in a doorway, narrow hallways, etc., limiting individuals' mobility in the setting? If obstructions are present, are there environmental adaptations such as a stair lift or ramp to ameliorate the obstructions?

		Response Total	Response Percent
Yes		33	100%
No		0	0%
Total Respondents			33

Do paid and unpaid staff receive new hire training and continuing education related to the rights of individuals receiving services?

		Response Total	Response Percent
Yes		33	100%
No		0	0%
Total Respondents			33

Are provider policies outlining rights of individuals receiving services made available to participants?

		Response Total	Response Percent
Yes		33	100%
No		0	0%
Total Respondents			33

Are provider policies on participant experience and HCBS rules regularly reassessed for compliance and effectiveness and amended, as necessary?

		Response Total	Response Percent
Yes		33	100%
No		0	0%
Total Respondents			33